CLAIMS

What is claimed is:

| l | 1. | • | Apparatus for carrying out Czochralski crystal growth | | |
|----|--|----------------|--|--|--|
| 2 | comprising: | | | | |
| 3 | (a | a) | a crucible having a bottom, a sidewall and an open top, with | | |
| 4 | an axial height from the open top to the bottom; | | | | |
| 5 | (b |) | an upper heater around the crucible to apply heat to an upper | | |
| 6 | portion of the cr | ucible | 2: | | |
| 7 | (c | 2) | a lower heater around the crucible below the upper heater to | | |
| 8 | apply heat to a le | ower | portion of the crucible, the lower heater operable | | |
| 9 | independently of | f the ı | apper heater so that the heat applied by the upper heater and | | |
| 0. | the lower heater | to the | e crucible can be selected; | | |
| 1 | (c | (l | heat insulation between the upper and lower heaters; and | | |
| 12 | (e |)) | an axially advanceable crucible support under the crucible on | | |
| 13 | which the crucib | ole is | supported. | | |
| l | 2 | - | The apparatus of Claim 1 including means for drawing a | | |
| 2 | solidified crystal | l fron | liquid melt in the crucible through the open top of the | | |
| 3 | crucible. | | | | |
| 1 | 3 | | The apparatus of Claim 1 including heat insulation around and | | |
| 2 | spaced outwardl | y froi | m the upper and lower heaters. | | |
| 1 | 4 | | The apparatus of Claim 1 further including a solid feed | | |
| 2 | material occupy | ing a | lower portion of the crucible, a liquid melt of the feed materia | | |
| 3 | over the solid m | ateria | al which occupies a region of the crucible above the solid feed | | |
| 4 | material, a liqui | d enc | apsulant material over the liquid melt occupying a region of | | |
| 5 | the crucible abo | ve the | e liquid melt, and means for drawing a solidified crystal from | | |
| 6 | the liquid melt the | hroug | h the liquid encapsulant. | | |
| | | | | | |

| l | 5 The apparatus of Claim 4 wherein the top of the solid feed | | | | |
|-----|--|--|--|--|--|
| 2 | material in the crucible is at a height adjacent to the insulation between the upper | | | | |
| 3 | and lower heaters. | | | | |
| | | | | | |
| 1 | 6. The apparatus of Claim 4 wherein the means for drawing the | | | | |
| 2 | crystal also rotates the crystal being drawn from the melt. | | | | |
| 1 | 7 The apparatus of Claim 4 wherein the solid feed material is | | | | |
| 2 | In-doped GaAs and the liquid melt is In-doped GaAs. | | | | |
| _ | in doped Gazts and the riquid men is in doped Gazts. | | | | |
| 1 | 8. The apparatus of Claim 4 wherein the solid feed material is an | | | | |
| 2 | alloy of GaAs and InAs. | | | | |
| | | | | | |
| 1 | 9. The apparatus of Claim 1 further including a temperature | | | | |
| 2 | sensor positioned to sense the temperature of the lower heater in the region of the | | | | |
| 3 | solid feed material and a temperature sensor positioned to sense the temperature of | | | | |
| 4 | the heater in the region of the liquid feed melt material. | | | | |
| | | | | | |
| 1 | 10. The apparatus of Claim 1 wherein the aspect ratio of the axial | | | | |
| 2 | length of the crucible to the diameter of the crucible is at least 2 to 1. | | | | |
| l | 11. The apparatus of Claim 1 wherein the crucible comprises an | | | | |
| 2 | outer crucible holder and an inner crucible held within the crucible holder. | | | | |
| _ | outer cruciole holder and an inner cruciole held within the cruciole holder. | | | | |
| 1 | 12. The apparatus of Claim 11 wherein the inner crucible is | | | | |
| 2 | formed of pyrolytic BN. | | | | |
| | | | | | |
| 1 . | 13. A method of carrying out Czochralski crystal growth | | | | |
| 2 | comprising: | | | | |
| 3 | (a) providing a crucible with a solid feed material therein having | | | | |
| 4 | a desired concentration of constituents for the crystal to be grown; | | | | |
| 5 | (b) heating an upper portion of the crucible with an upper heater | | | | |
| 6 | to a temperature sufficient to melt the feed material in an upper portion of the | | | | |
| 7 | crucible and separately heating a lower portion of the crucible with a lower heater | | | | |

| 8 | to another temperatur | re which is below the melt temperature of the feed material so | |
|----|---|---|--|
| 9 | that the feed material | in the lower portion of the crucible remains solid; | |
| 10 | (c) | growing a crystal from the melt and drawing the growing | |
| 11 | crystal out of the mel | t; | |
| 12 | (d) | advancing the crucible with respect to the heaters as the | |
| 13 | crystal is drawn from | the melt to heat additional portions of solid feed material with | |
| 14 | the upper heater to melt the additional solid material to replace the crystal drawn | | |
| 15 | from the melt. | | |
| 1 | 14. | The method of Claim 13 further including rotating the crystal | |
| 2 | as it is drawn from th | ne melt. | |
| | | | |
| 1 | 15. | The method of Claim 13 further including covering the melt | |
| 2 | • | lant material while growing the crystal from the melt and | |
| 3 | drawing the growing | crystal out of the melt. | |
| 4 | 16. | The method of Claim 15 wherein the feed material is In-doped | |
| 5 | GaAs. | | |
| 1 | 17. | The method of Claim 16 wherein the liquid encapsulant | |
| 2 | material is B ₂ O ₃ . | | |
| | | | |
| 1 | 18. | The method of Claim 15 wherein the feed material is an alloy | |
| 2 | of InAs and GaAs. | | |
| 1 | 19. | The method of Claim 18 wherein the liquid encapsulant is | |
| 2 | B2O3. | | |
| | | | |
| 1 | 20. | The method of Claim 13 wherein the feed material is SiGe. | |
| 1 | 21. | The method of Claim 13 wherein the step of providing a | |
| 2 | crucible with a solid | feed material therein includes filling the crucible with a | |
| 3 | mixture of particulat | e feed material having the desired concentration of constituents, | |
| 4 | heating the particulate material to melt it in the crucible and thoroughly mixing the | | |
| 5 | melted material, ther | freezing the melted material to form a solid feed material in | |

- 6 the crucible, and then heating an upper portion of the feed material in the crucible
- 7 with the upper heater to a temperature above the melting temperature of the
- 8 material.
- 1 22. The method of Claim 13 wherein the step of growing a crystal
- 2 from the melt includes contacting the melt with a seed crystal at an end of a crystal
- 3 pulling rod to grow crystal from the melt onto the seed and then drawing the rod
- 4 upwardly to draw the growing crystal from the melt.
- 1 23. The method of Claim 22 further including reducing the heat
- 2 applied by the upper heater after the seed crystal contacts the melt to lower the
- 3 temperature of the melt to allow crystal to grow on the seed.
- 1 24. The method of Claim 23 further including raising the heat
- 2 applied by the lower heater to the solid feed material after the seed crystal contacts
- 3 the melt to raise the temperature of the solid feed material and melt an additional
- 4 portion of the solid feed material to reduce the change in the depth of the melt as
- 5 the crystal is grown on the seed.
- 1 25. The method of Claim 13 wherein the crucible is advanced
- 2 with respect to the heaters at a speed $V_c = V_s$ $(d_s/d_c)^2$, where V_s is the speed at which
- 3 the crystal is drawn from the melt, ds is the diameter of the crystal as drawn from
- 4 the melt, and d_c is the inner diameter of the crucible.
- 2 from the melt, the melt is leveled by the addition of a desired dopant to adjust the
- 3 melt concentration to a level C₀/k, where C₀ is the desired dopant concentration in
- 4 the crystal and k is an experimentally determined constant.
- 1 27. The method of Claim 13 further including maintaining the
- 2 crucible in an enclosure containing an inert gas atmosphere.
- 1 28. The method of Claim 13 wherein the upper and lower heaters
- 2 maintain the uppermost portion of the melt at a higher temperature than the

| 3 | lowermost portion of the melt to maintain a temperature gradient in the melt to | | |
|----|---|----------------|--|
| 4 | enhance conv | rection | mixing of the melt. |
| 1 | | 29. | A method of carrying out liquid encapsulated Czochralski |
| 2 | crystal growt | h comp | orising: |
| 3 | | (a) | providing a crucible with a solid feed material therein having |
| 4 | a desired con | centrat | ion of constituents for the crystal to be grown; |
| 5 | | (b) | heating an upper portion of the crucible with an upper heater |
| 6 | to a temperat | ure sut | ficient to melt the feed material in an upper portion of the |
| 7 | crucible and | separat | ely heating a lower portion of the crucible with a lower heater |
| 8 | to another ter | mperati | are which is below the melt temperature of the feed material so |
| 9 | that the feed | materia | al in the lower portion of the crucible remains solid; |
| 10 | | (c) | growing a crystal from the melt and drawing the growing |
| 11 | crystal out o | f the m | elt while covering the melt with a liquid encapsulant material; |
| 12 | | (d) | advancing the crucible with respect to the heaters as the |
| 13 | crystal is dra | wn fro | m the melt to heat additional portions of solid feed material with |
| 14 | the upper heater to melt the additional solid material to replace the crystal drawn | | |
| 15 | from the mel | lt. | |
| | | 2.0 | my 1 1 CCL 1 20 foods as including rotating the crystal |
| 1 | | 30. | The method of Claim 29 further including rotating the crystal |
| 2 | as it is draw | n from | the melt. |
| 1 | | 31. | The method of Claim 29 wherein the feed material is In-doped |
| 2 | GaAs. | | |
| | | | |
| 1 | | 32. | The method of Claim 31 wherein the liquid encapsulant |
| 2 | material is B | 32 O 3. | |
| 1 | | 33. | The method of Claim 29 wherein the feed material is an alloy |
| 2 | of InAs and | | The method of classic by waster |
| _ | or mas and | Garra. | |
| 1 | | 34. | The method of Claim 33 wherein the liquid encapsulant is |
| 2 | B2O3. | | |

| 1 | 35. The method of Claim 29 wherein the step of growing a crystal |
|---|---|
| 2 | from the melt includes contacting the melt with a seed crystal at an end of a crystal |
| 3 | pulling rod to grow crystal from the melt onto the seed and then drawing the rod |
| 4 | upwardly to draw the growing crystal from the melt and through the liquid |
| 5 | encapsulant. |

- 1 36. The method of Claim 29 wherein before drawing the crystal from the melt, the melt is leveled by the addition of a desired dopant to adjust the
- 3 melt concentration to a level C₀/k, where C₀ is the desired dopant concentration in
- 4 the crystal and k is an experimentally determined constant.
- 1 37. The method of Claim 29 wherein the step of providing a 2 crucible with a solid feed material therein includes filling the crucible with a 3 mixture of particulate feed material having the desired concentration of constituents 4 and the encapsulant, heating the particulate material to melt it in the crucible and 5 thoroughly mixing the melted material, then freezing the melted material to form a 6 solid feed material in the crucible, and then heating an upper portion of the feed 7 material in the crucible with the upper heater to a temperature above the melting 8 temperature of the material.